

If you are an existing Reyker client, please enter your **account number** here:

If you are making this application as an attorney under a Lasting Power of Attorney (LPA), please contact us for assistance at 020 7397 2586 or via [clientservices@reyker.com](mailto:clientservices@reyker.com).

All fields need to be completed.

### Apply online

It is much quicker to apply online: your account is instantly set up with us. Please go to [reyker.com](http://reyker.com) and either click on the register button or on our products and then click on current offers.

### Apply by post

If you are not able to apply online, please complete this form in ink and return by post to:  
Reyker, 17 Moorgate, London, EC2R 6AR

## 1. Personal declaration

Please read the information below and then tick the box as confirmation. This form collects information about you that we need in order to process your investment, comply with regulations and protect you. You must be 18 or over to invest with us. We want you to be happy at Reyker, so if you are not content, please call us and we will do all we can to help. Thank you for your business and for trusting us.

If you are making an ISA investment, then signing or sending this form constitutes a declaration that you will comply with ISA rules:

- You must be 18 years old or over to invest with us
- You must specify that you are opening a stocks and shares account by making this application
- You are aware that you are investing for the current ISA tax year at the date of application
- You confirm that you will not exceed HMRC ISA limits with this account or any other account in this tax year or in any future tax year
- You will tell us immediately should you cease to be a UK resident.

We will rely on the information you give in this application, which must be provided in full please. You confirm and declare that you are being responsible, truthful and not withholding any information that may be relevant to us in accepting you as a client and that you agree with the contract that binds us in our Terms and Conditions (T&Cs), including compliance with ISA rules, taxation rules and data protection.

- I agree. It is mandatory to tick this box. Without it we cannot accept your application.**

When we accept your investment, you will be bound contractually through our T&Cs. You can always find them on our website [reyker.com/document-centre/home](http://reyker.com/document-centre/home) and via your online account with us. Please invest responsibly, get proper independent advice if necessary, and make sure you understand what you are investing in, including the risks and potential rewards. All investments carry risk that values and returns may fall as well as rise. We provide a lot of useful risk information at [reyker.com](http://reyker.com) so please read it but feel free to call us as well if you don't understand anything.

Please familiarise yourself with our privacy policy found here [reyker.com/regulatory-information/privacy-policy](http://reyker.com/regulatory-information/privacy-policy) since it contains information on how we collect, process, store and share your data. It also explains why we collect your data and the many rights you have regarding your personal data.

We would like to send you communications regarding our financial services and products, marketing materials, customer surveys and research among other developments within our industry which help us to develop, improve and market our products and services to you. We herein request confirmation that you want to receive these types of communications from us.

- Please tick this box to confirm that you are happy to receive this communication from us.** You can withdraw your consent at any time by emailing us at [clientservices@reyker.com](mailto:clientservices@reyker.com) and we will act on your instructions.

## Investor

Signed \_\_\_\_\_

Printed name \_\_\_\_\_

Date \_\_\_\_\_

## 2. Personal details

Title	National insurance number:
First name	□□ □□ □□ □□ □
Middle name(s)	<input type="checkbox"/> Tick here if you do not have a national insurance number
Surname	Principal nationality
Date of birth	National ID*
Place of birth (town/city)	Other nationalities
Country of birth	National ID*
Permanent address	<i>*Only fill this in if you are not a British citizen (Please see our website, document centre - Important information, official journal of the European Union.)</i>
County	<b>Are you resident in the UK for tax purposes?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No
Postcode	If you are resident in another country than the UK for tax purposes, please provide all jurisdiction(s) of residence(s) for tax purposes and tax identification number (TIN):
Country	Country
Phone	TIN
Email	

## 3. Your bank details

Account holder name	Account number
	Sort code

## 4. Your investment details

	Amount to subscribe
Stocks & shares 2019/2020 ISA (max. £20,000)	£
Direct investment	£
Transfer in from existing ISA Manager, other than Reyker*	£
SIPP investment	£
<b>Total investment amount required for Reyker Aim IHT Portfolio Service (min £25,000)</b>	<b>£</b>

### Bank transfer

Subscription monies should be sent to the following client monies bank account:

Account name	<b>Reyker Securities plc client a/c</b>
Account number	<b>36210633</b>
Sort code	<b>60 00 01</b>
Reference	<b>Please insert your name</b>

### Cheques

Please make cheques payable to 'Reyker Securities plc Client A/C'. Cheques require 8 clear working days to be treated as cleared. Bank transfers are faster and safer.

\*Please fill in additionally our transfer authority form

## 5. Financial adviser details

Full name	Phone
Email	Address
Company	
FCA number	
Contact number	County Postcode

## 6. Financial adviser charging details

This part of the form is for your financial adviser to fill in.

### Financial adviser charging

We will facilitate payment to your financial adviser of this charge.

Investment amount	£	Ongoing financial adviser charge percentage of the Aim IHT plan to be paid by Reyker once clear funds are received from the investor	
Financial adviser charge	£		
Total sent to Reyker	£		%

### Bank details

Account name	Sort code
Account number	Bank or Building Society name

## 7. Financial adviser declaration

By signing this application, I declare that:

- I have read and understood the Reyker AIM IHT Portfolio brochure
- I have reviewed a copy of the Reyker Terms and Conditions for Intermediaries, and agree to be bound by its terms. A copy of which is available on our website: reyker.com
- I confirm that I am a FCA authorised financial adviser. I have conducted all customer due diligence and assessment of investment suitability checks for the Investor in accordance with FCA regulations and best practice
- I have verified the identity of the Investor in accordance with the Money Laundering Regulations 2007 and confirm that documentary evidence has been obtained and identity checks have been undertaken to confirm that the Investor's name and address as shown on this application are correct
- I have assessed that an investment in the Reyker AIM IHT Portfolio Service meets the Investor's circumstances and investment objectives or where conducting business on an execution only basis have found the investment to be suitable for the Investor
- I have assessed that the Investor has the expertise, experience and knowledge to understand the risks and that s/he is able to bear the associated risks involved in such an investment
- If Reyker accepts the application, I will continue to ensure for so long as I act for the Investor, that at all times the Reyker AIM IHT Portfolio Service is suitable for the Investor. In the event that, I cease to act for the Investor for any reason whatsoever or if I stop being a FCA authorised financial adviser, I shall notify Reyker immediately and take such other steps as are set out in the Terms and Conditions for Intermediaries.

## 8. Financial adviser declaration (continued)

### Where you have completed Section 6:

- I have agreed with the Investor that Reyker can facilitate the payment of the Adviser Charges owed to me by the investor as set out in Section 6, plus VAT (if applicable)
- I agree that Reyker will not be liable to me in respect of any Adviser Charges owed to me by the Investor (including, without limitation, where the Investor asks Reyker not to pay an Adviser Charge to me).

Adviser signature

Date

Adviser printed name

## 9. Risk warnings

The service is actively managed and whilst we strive to achieve our investment objectives and monitor opportunities regularly, Reyker cannot guarantee that it will achieve the objectives set out for the portfolio.

Investments are subject to normal market fluctuations and their value may go down as well as up and an investor may not get back the amount originally invested.

Although Reyker offers investors the ability to liquidate their portfolio on a daily basis, this is very much dependent on the actual market conditions when investors wish to dispose of the investment. The investment Reyker AIM IHT Portfolio Service is intended to be a medium to long term investment and will be most likely to perform best if it is held for the long term. Investors may suffer a less favourable price if liquidity is required at short notice, rather than giving us to opportunity to sell at the optimum time.

Certain shares held within the portfolio may have liquidity constraints, meaning purchases and redemptions are not available on a daily basis. This is primarily driven by the size of the investment and the prevailing trading conditions at the time. In times of market distress, liquidity can become less available. This means that investors who are looking to sell their assets instantly at a fair price may not be able to access all of their funds immediately. We do not invest in any companies where liquidity is expected to have long term restrictions. However, we cannot guarantee asset liquidity within a predicted time frame since this factor is also governed by market and trading conditions.

This portfolio invests solely in AIM listed company shares. Due to the AIM market being a market for small and medium sized companies, the swings in share prices of AIM listed companies can be more pronounced than those of larger companies. This also means your portfolio valuation could show larger swings compared to other

types of investments. You must be comfortable with the swings in value that your portfolio could experience.

Past performance or any yields quoted should not be considered reliable indicators of future returns. This is a commonly used industry risk warning that simply means history is not necessarily a guide to the future.

The extent and value of any tax advantages or benefits arising from the use of tax-advantaged services will vary according to the individual's circumstances. The levels and bases of taxation may also change according to government policy. Reyker does not provide tax advice and investors should seek independent tax advice if in doubt.

Our portfolios are targeted at investors who have received professional advice. We operate the strategies in accordance with certain objectives and risk profiles that financial advisers should be qualified to assess and inform the investors accordingly. It is the responsibility of investors to establish with their advisers whether the portfolio is suitable for them. If an investor's circumstances change they should seek advice as such change may lead to the investment no longer being suitable for them. If this happens, the adviser can talk to us about changing investment strategy or selling your investments.

To ensure there are no misunderstandings, we keep all correspondence with investors and advisers, including emails, for a period of at five years. We also record all telephone conversations with investors and advisers and keep these recordings for at least five years. These procedures are to protect the investor, their adviser and Reyker, and are in accordance with FCA rules.

This list is not intended to be exhaustive and if you wish to discuss the elements of risk affecting your investments then please speak to your financial adviser.